

# THE CANADIAN ANTI-MONEY LAUNDERING INSTITUTE



## Seminar Archive DVD



### A Master Class for Compliance Professionals

The Canadian Anti-Money Laundering Institute, CAMLI, as part of its continuing program to provide ongoing education and skill development for Canadian Compliance Officers, delivered a one-day seminar focused on enhancing the AML compliance manager's competencies for overseeing a corporate-wide Compliance Regime that ensures a common standard for all applicable products and services provided to its customers.

This seminar addresses four distinct areas of a sound AML/CTF Compliance Regime: **Governance, Enhanced Due Diligence, FINTRAC Reporting and Compliance Expectations**, and **Effective Training**. Each topic is covered by different faculty, experienced in their subject matter and bringing with them a wide variety of practical examples to support the information being discussed. The seminar is divided into four segments --- each devoted to one of the four topic areas.

#### **Seminar Content:**

##### **Governance:**

- Accountability under the Law
- Responsibilities of owners, Boards, Senior Management, and CAMLO
- Penalties and who is liable
- Regulatory expectations

##### **Training:**

- CAMLO qualifications and training
- Basic employee training --- how much; how often; how assessed
- On-going training to stimulate retention and ongoing application

##### **Enhanced Due Diligence:**

- Risk analysis and responses
- Watch lists, PEPs, etc.
- Reducing false positives
- KYC enhancements

##### **Reporting & Compliance Expectations:**

- The details of proper Report Preparation and submission
- Insights on FINTRAC Compliance Examination Procedures
- What do omissions mean
- Responding to compliance deficiencies

## Seminar Presenters:

- Chris Walker     Consultant, About Business Crime Solutions Inc.
- Richard Lee     Consultant, Pendragon Consulting Services (Former Senior Compliance Officer- FINTRAC)
- Horst Intscher     Consultant (Former Director of FINTRAC)
- Kristine Regele     AML Product Marketing Manager, LexisNexis

## Your computer is the seminar room

View this informative seminar at your convenience and share with others in your organizations.

This webcast seminar is aimed at anyone involved with the development and/or implementation of anti-money laundering compliance procedures within their organization, including:

- Financial Institutions
- Trust & Loan Companies
- Securities Dealers
- Life Insurance Companies, Brokers & Agents
- Foreign Exchange
- Money Service Businesses
- Real Estate Agents & Brokers
- Accountants
- Casinos
- Precious Metals & Gems Dealers
- Real Estate Developers
- Lawyers

## Registration Information:

- Please review the system requirements prior to registering.
- Fill out the attached registration form for your organization and forward it to CAMLI by mail, fax, or by giving us a call.
- Take advantage of the special savings listed on the registration form for those who are members of the Canadian Anti-Money Laundering Institute (CAMLI).
- All registrations must be accompanied by payment or credit card to be processed. Each processed registrant will receive their receipt of payment via e-mail. Once payment has been received, the seminar DVD will be shipped to the address provided on the Order Form.

## System Requirements

Operating System: Windows 9x, Windows 2000/NT, Windows XP, Windows 7

Processor: Pentium 2+ Processor, 200 MHz or higher

RAM: 32MB of RAM or higher

Other: Sound card, speakers or headphones

Player/Browser: Version & Settings  
Streaming: Windows Media Player V.6.4 or above



# Canadian Anti-Money Laundering Institute



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## Order Form : Seminar Archive DVD - A Master Class for Compliance Professionals

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	13% ON, NL, NB	\$621.50	\$565.00
	12% BC	\$616.00	\$560.00
	5% AB, SK, PEI, YT, NU, MB, QC, NT	\$577.50	\$525.00

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