

# CAML I Workshops

Compliance for a Competitive Advantage

You Have Been Selected for a FINTRAC Audit:  
Twenty Years In, Are You Really Prepared?



## **Workshop 1 - Compliance for a Competitive Advantage**

**September 23, 2019, 7:30am - 11:45am**

Almost twenty years into Canada's money laundering compliance program, one continues to find compliance officers facing struggles to position compliance commitment as an ongoing, integral part of the organization's operations. Maintaining a competitive edge continues to be a guiding principle for owners, executives, and Board Members when looking at the impact compliance programs have on the businesses products, services, and the ever-important bottom line. When it comes to profit and maintaining market share, compliance officers can find their requirements for effective regulatory controls sometimes ignored or put on hold for a variety of reasons --- some of which actually put the organization at risk for regulatory push back.

Brion Nazarro will explore how he worked with various executive committees and Boards of Directors to ensure their commitment to compliance across the organization. To confirm compliance practices were in place every time new products and services were developed and implemented. To maintain standards in the way compliance protocols were implemented and reinforced company wide, and to work with marketing teams to ensure that new products and services had the best opportunities to succeed, while ensuring risks are mitigated effectively.

The seminar is being designed to demonstrate best practice models; to encourage input by the audience into the discussions; and to use small group exercises to provide simulated examples of the problems and solutions faced when trying to ensure that competitive edge. A central theme to Brion's approach with this seminar will be to focus attention on how organizations can minimize internal conflict by approaching compliance with a competitive mindset from the onset.

## **Workshop 2 - You Have Been Selected for a FINTRAC Audit:**

**Twenty Years In, Are You Really Prepared?**

**September 25, 2019, 12:30pm - 5:00pm**

FINTRAC Examinations are becoming increasingly comprehensive and focused since Canada's AML/CTF legislation came into existence. Compliance requirements emerge, change, and disappear on a regular basis. Reporting entities are required to roll with the changes and ensure that compliance documentation and practices reflect what applies to their individual sector.

FINTRAC's Director has committed to the release of a new Examination Overview Manual, which will discuss the details involved in a regulatory audit. Those details will provide a checklist against which compliance officers can measure their own compliance programs; thereby providing a way to determine how on- or off-base their program is before the examiner arrives.

FINTRACs standards over the past 25 years have grown from very basic expectations to the detail required given the breadth and depth of the customers, locations, services, and products being offered by a business today. This detail is no longer simple and basic, but has become ever more complex given the multitude of operational variables now used to generate business around the world.

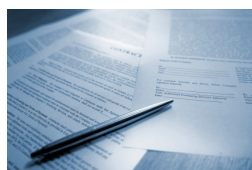
Angelo will explore FINTRAC's practices and compare them with those models and responses that best support these requirements. He will reference best and poor practices, which participants can discuss in detail in this interactive forum, including a review of case studies in order to explore how certain remedies work, why they work, and how they can best be utilized.

**Workshop 1 Presenter - Brion Nazarro**  
**Copacetic Strategies , Lone Tree, Colorado, USA**

Brion Nazarro has led and developed many multinational bank and non-bank financial institution compliance programs. In 2019, he opened his own consulting practice focused on the intersection of financial institutions and commercial cannabis businesses.

**Workshop 2 Presenter - Angelo Coppola**  
**CAMLO at Globex 2000 Currency Experts, Montreal, QC**

Angelo Coppola has worked in both the regulatory and reporting entity worlds. He has become accomplished at monitoring compliance programs to determine if they meet regulatory application standards. He can explain the different nuances required to meet these standards given the details of your business operations.



As is the style of all CAMLI workshops, discussion and the sharing of information across and between the instructor and delegates are paramount. Questions for clarification and understanding are promoted. Such an interactive learning environment will require that CAMLI limits the number of delegates who can register. Consequently, those interested should register early to secure their seat.

The workshops are being offered under the auspices of the Canadian Anti-Money Laundering Institute (CAMLI) and delegates will receive a Certificate of Participation from CAMLI. In addition, each workshop will carry 3 CAMLI Continuing Education Credits.

## **Workshop Venue Information**

Hotel Grand Pacific  
463 Belleville St  
Victoria, British Columbia, Canada

If required, room reservations can be made:

- ◆ Call the toll free reservation line at 1-800-663-7550, Local calls: 250-386-0450. Group Name: ABC Solutions  
Group Code: SEP25ABCSOLUTIONS 2.
- ◆ Email [reserve@hotelgrandpacific.com](mailto:reserve@hotelgrandpacific.com)



For 2019, we have arranged with the hotel special room rates for our workshop delegates. Specifically, The Hotel Grand Pacific is offering rates starting at \$229/night for all delegates.

# Registration Form: CAMLI 2019 Workshops

September 23 & 25, 2019  
Prince George Hotel



	Extra Early Bird (before March 31)	Early Bird (before June 30)	Regular Rate
Non-Member Pricing September 23 & 25	\$625 + GST <b>\$656.25 Total</b>	\$650 + GST <b>\$682.50 Total</b>	\$700 + GST <b>\$735.00 Total</b>
CAMLI Member Pricing September 23 & 25	\$575 + GST <b>\$603.75 Total</b>	\$600 + GST <b>\$630.00 Total</b>	\$650 + GST <b>\$682.50 Total</b>
Non-Member Pricing September 23 or 25 (Circle date)	\$350 + GST <b>\$367.50 Total</b>	\$375 + GST <b>\$393.75 Total</b>	\$400 + GST <b>\$420.00 Total</b>
CAMLI Member Pricing September 23 or 25 (Circle date)	\$325 + GST <b>\$341.25 Total</b>	\$350 + GST <b>\$367.50 Total</b>	\$375 + GST <b>\$393.75 Total</b>

Food Allergies: If Yes (Specify) \_\_\_\_\_

Name of Company			
Delegate Name			
Email Address			
Phone Number		Fax Number	
Street Address			
City/Town/Province		Postal Code	

## How to Register: [ONLINE](#)

### BY Mail:

Canadian Anti-Money Laundering Institute  
PO Box 427  
Merrickville, Ontario, K0G 1N0

### BY:

Telephone: 613 283-9659  
Fax: 613 526-9384  
Email: [contactus@camli.org](mailto:contactus@camli.org)



### Payment Methods By:

- Cheque Enclosed      Money Order Enclosed  
 Credit Card

Amount Paid (including taxes): \_\_\_\_\_ CAMLI Membership Number (if applicable): \_\_\_\_\_

1. Card Type:    MasterCard    VISA    American Express    Discover   [Please Print]

2. Name on Card: \_\_\_\_\_

3. Number on Card: \_\_\_\_\_

4. Expiry Date (month/year): \_\_\_\_\_

Signature: \_\_\_\_\_

### General Information:

- Location of Registration Desk and seminar room at The Hotel Grand Pacific will be sent to paid delegates by email in advance of the actual presentation day.
- Full refunds, less an administrative fee of \$200.00, will be made for cancellations received in writing by June 30, 2019. No refunds will be given after that date; however, delegate substitutions are permitted.
- Casual business attire is recommended for the seminar.