



## Certified Financial Planners: Understanding Risks

Legitimate products and services can be used to mask illegal origins of funds, to move funds to finance terrorist acts, or to hide the true identity of the actual owner or beneficiary of the product or service.

This topic, 'Understanding Risks', is designed to provide Certified Financial Planners with information about the risks your products and services may create and the opportunities they may provide for money launderers and terrorist activity financing.

**Some criminals may use investment specialists as the first step in integrating their criminal property into the financial system**

This topic details information regarding the following:

- About Money Laundering & Terrorist Financing
- Inherent Risks
- Schemes
- Know Your Client



(613) 283-9659  
(613) 526-9384 FAX



PO Box 427  
Merrickville, Ontario  
K0G 1N0



[www.camli.org](http://www.camli.org)



@camliorg



- Define money laundering and terrorist financing;
- Identify some common impacts of money laundering;
- State some common sources of terrorist financing;
- Have a better understanding of some of the inherent money laundering risks and schemes associated with financial planning and investment products and services;
- State the importance of “Know Your Client” rules as they relate to anti-money laundering and terrorist financing initiatives;

- For reporting entities, identify the three methods to ascertain the identity of individual customers, as required under the June 2016 amendments to the *Proceeds of Crime (Money Laundering) and Terrorist Financing Act* and its associated Regulations; and
- For financial entities, securities dealers, money service businesses, and life insurance companies, brokers, and agents, identify the new obligations related to politically exposed persons and heads of international organizations.

### **Certified Financial Planners: Understanding Risks includes:**

- 24/7 access to all training program materials via your CAMLI user account;
- fact sheets & legislative updates;
- the flexibility to complete the online final exam at a time that fits within your busy schedule.

### **Upon successful completion of Certified Financial Planners: Understanding Risks, you will receive:**

- a certificate of program completion documenting your understanding of the risks your products and services may provide for money launderers and terrorist activity financing;
- 3 CAMLI Continuing Education (CE) hours that can be used for qualification or maintenance of CAMLI designations.



(613) 283-9659  
(613) 526-9384 FAX



PO Box 427  
Merrickville, Ontario  
K0G 1N0



[www.camli.org](http://www.camli.org)



@camliorg

# The Canadian Anti-Money Laundering Institute

## Order Form : Certified Financial Planners: Understanding Risks

<b>Name of Company</b>			
<b>Delegate Name</b>			
<b>Email Address</b>			
<b>Phone Number</b>		<b>Fax Number</b>	
<b>Street Address</b>			
<b>City/Town/Province</b>		<b>Postal Code</b>	

<b>Register online or Via:</b> <b>Phone, Fax, Email, or Mail</b> <input type="radio"/> Cheque Enclosed <input type="radio"/> Money Order Enclosed <input type="radio"/> Credit Card	<b>Province/Territory</b>	<b>Full price \$150+taxes</b>	<b>CAMLI member price \$125+taxes</b>
	15% NB, NL, NS, PEI	\$172.50	\$143.75
	13% ON	\$169.50	\$141.25
	5% AB, BC, MB, NT, NU, QC, SK, YT	\$157.50	\$131.25

1. Amount Authorized \_\_\_\_\_ [Please Print]
2. Card Type:  MasterCard  VISA  American Express  Discover
3. Name on Card: \_\_\_\_\_
4. Number on Card: \_\_\_\_\_
5. Billing Address: \_\_\_\_\_
6. Expiry Date (month/year): \_\_\_\_\_
7. Signature: \_\_\_\_\_

**www.camli.org**

*HST/Business Number: 86775 6843 RT0001*



(613) 283-9659  
(613) 526-9384 FAX



PO Box 427  
Merrickville, Ontario  
K0G 1N0



www.camli.org



@camliorg